Objective: The authors sought to update the randomized controlled trial literature of psychosocial treatments for schizophrenia.

Method: Computerized literature searches were conducted to identify randomized controlled trials of various psychosocial interventions, with emphasis on studies published since a previous review of psychosocial treatments for schizophrenia in 1996.

Results: Family therapy and assertive community treatment have clear effects on the prevention of psychotic relapse and rehospitalization. However, these treatments have no consistent effects on other outcome measures (e.g., pervasive positive and negative symptoms, overall social functioning, and ability to obtain competitive employment). Social skills training improves social skills but has no clear effects on relapse prevention, psychopathology, or employment status. Supportive employment programs that use the place-and-train vocational model have important effects on obtaining competitive employment. Some studies have shown improvements in delusions and hallucinations following cognitive behavior therapy. Preliminary research indicates that personal therapy may improve social functioning.

Conclusions: Relatively simple, long-term psychoeducational family therapy should be available to the majority of persons suffering from schizophrenia. Assertive community training programs ought to be offered to patients with frequent relapses and hospitalizations, especially if they have limited family support. Patients with schizophrenia can clearly improve their social competence with social skills training, which may translate into a more adaptive functioning in the community. For patients interested in working, rapid placement with ongoing support offers the best opportunity for maintaining a regular job in the community. Cognitive behavior therapy may benefit the large number of patients who continue to experience disabling psychotic symptoms despite optimal pharmacological treatment.

(Am J Psychiatry 2001; 158:163–175)

Antipsychotic medications have been repeatedly shown to be effective for the treatment of acute psychosis and the prevention of relapse for persons suffering from schizophrenia. Novel antipsychotics with fewer neurotoxic side effects are a clear therapeutic advancement. However, with the exception of clozapine for treatment-resistant psychosis, the newer agents have not been clearly shown to have clinical advantages in other domains of outcome, such as social adjustment and obtaining competitive employment (1). Thus, the majority of persons with schizophrenia, even those who benefit from medication, continue to have disabling residual symptoms and impaired social functioning and will most likely experience a relapse despite medication adherence. Hence, it is necessary to integrate empirically validated psychosocial treatments into the standard of care for this population.

In this article, we present an updated review of the various forms of psychosocial interventions that have been studied in methodologically sound clinical trials, with a special emphasis on studies published since the 1996 review by Penn and Mueser (2). Randomized controlled trials currently assess relevant outcomes in patients with schizophrenia beyond the traditional measures of psychopathology and rates of rehospitalization. Other domains of outcomes include cognitive performance, social skills and adjustment, overall quality of life, competitive employment, and comorbid substance abuse as well as less usual clinical measures such as negative, depressive, and deficit symptoms. As we describe different psychosocial interventions, we will define the primary targeted outcome measure addressed in each study. We will not address the important area of treatment research concerning schizophrenia and comorbid substance abuse (the reader is referred to the update by Drake and colleagues [3]).

Research into psychosocial treatment strategies exists at varying stages of development, some modalities having been studied more often and with better-designed randomized controlled trials. The majority of the randomized controlled trials reviewed assumed that optimal antipsychotic medication management was provided. From the available literature, whenever possible, we will address the following questions. What is the efficacy of the specific intervention for the primary outcome measure? For secondary outcomes? Is a particular kind of psychosocial inter-
vention more efficacious for certain outcomes? (If so, what is the evidence for an “active ingredient”?)). What evidence exists for effectiveness and transferability, i.e., effectiveness in more usual clinical settings? What data exist regarding cost-effectiveness?

Because family therapy is the most extensively studied psychosocial intervention, a reasonable attempt can be made to address most of these questions for this modality. For other forms of treatment, some of the questions may not be addressed because of limited data.

Method

We selected articles for review by conducting MEDLINE and PsychInfo computerized searches of the English language literature for the period 1966 to March 2000. For the MEDLINE searches, the following key words were used in conjunction with the terms “schizophrenia,” “randomized control trial,” and “human”: “psychotherapy,” “psychosocial rehabilitation,” “social adjustment,” “social support,” “cognitive therapy,” “family therapy,” and “social skills training.” From these searches, 155 separate references were found. For the PsychInfo searches, the following key words were used in conjunction with the terms “schizophrenia,” “empirical study,” “human,” and “journal articles”: “group psychotherapy,” “psychotherapy,” “family therapy,” “social adjustment,” “social skills,” and “cognitive therapy.” From these searches, 12 additional references not included in the initial MEDLINE search were identified. We primarily selected randomized controlled trials that used standardized rating instruments, but some pertinent less rigorously conducted studies were also included. We also checked the references in the articles obtained to ensure that other relevant articles that had not been identified with the initial searches were included, and we consulted some experts in the field in order to identify other recent studies.

Results

In total we identified 18 new studies since the review by Penn and Mueser (2): two for family therapy, two for case management, five for social skills training, three for supported employment programs, five for cognitive behavior therapy, and one for individual therapy (which also had a family therapy arm).

Family Therapy

Brown and Rutter (4) demonstrated, and Butzlaff and Hooley (5) have recently supported the concept, that schizophrenia patients who returned to families that were originally rated as being high in expressed emotion (an empirically derived index of criticism, overinvolvement, and hostility) were more likely to experience a relapse during the following year despite adequate pharmacotherapy. Although high expressed emotion environments are not specific to families of patients with schizophrenia (5), the expressed emotion literature provided the background for most of the initial randomized controlled trials of family therapy that attempted to reduce psychotic relapse.

Efficacy with regard to primary outcome. A large body of evidence has demonstrated the superiority of a variety of family therapy interventions that employ behavioral and psychoeducational techniques over customary outpatient care or individual therapy in terms of the primary outcome measures of psychotic relapse and rehospitalization (2). On average, relapse rates among schizophrenic patients whose treatment involves family therapy are approximately 24% as compared to about 64% among those who receive routine treatment (6). Additionally, the beneficial effects of long-term family interventions (i.e., greater than 9 months) appear to be quite durable and may be maintained for up to 2 years (6) or longer (7).

However, the few studies published since the 1996 review by Penn and Mueser (2) are remarkable for their lack of relapse prevention findings (Table 1). Linszen and colleagues (8, 9) studied adolescent patients early in their illness and found a very low (16%-20%) overall relapse rate at 1 year, with no advantage for the patients whose treatment included family therapy. It is worth noting that the comparison intervention involved a fairly intensive individual treatment approach rather than “standard” services. The personal therapy trial of Hogarty et al. (10) included a family therapy arm for patients residing with their families. Unlike the subjects in the previous study, these subjects were mostly chronic patients. Family therapy offered no advantage over supportive therapy in preventing relapse (the overall relapse rate was only 29% at 3 years). The supportive therapy group received biweekly sessions, minimum effective medication dosage, and case management—an enriched package of care compared to most community standards. These studies illustrate that if the base relapse rate is low (either because of the population selected or the use of a comprehensive control care program), the potential advantages of family therapy may not be realized.

Are some interventions more efficacious? Considerable attention has focused on the reduction of expressed emotion levels as an active mediator for the efficacy of family therapy interventions. In studies that selected families with high levels of expressed emotion, patients who did not experience a relapse were more likely to reside in a family household in which the expressed emotion environment had changed from high to low during the treatment (13–16). However, the number of subjects/families reassessed was very small, and there were no reports of a clear correlation between relapse and reductions in expressed emotion levels. Because it is possible that a high level of expressed emotion may be a consequence of the relapse itself (or of patients being more severely ill), proving a causal role of expressed emotion for psychotic relapse requires a controlled study that includes interim expressed emotion assessments. Only Tarrier et al. (16) assessed expressed emotion levels at baseline, 4.5 months, and 9 months. Although they found that the level of expressed emotion changed from high to low in the relatives in the experimental treatment, similar changes occurred for the control condition. They concluded that “…this latter result would not be expected if expressed emotion is a...
studies have reported improvement in such factors as efficacy with regard to secondary outcomes. However, these studies do not separate the effect that reduced relapse rates due to family therapy intervention might have on these other outcome measures. The effect on social functioning independent of the effect on relapse has been assessed in two studies (11, 20) in which relapsed subjects reentered their original treatment group once stabilized. Social functioning was assessed between relapse episodes. Neither study found an advantage in social functioning for the experimental family treatment group (11 and Mueser, personal communication, 1999).

**Effectiveness and transferability.** Many randomized controlled trials have employed treatment manuals to guide the family therapy, which would facilitate implementation in alternate settings. The model of Falloon et al. (13) (behaviorally oriented problem-solving in the home) has been repeatedly transferred to other research settings (8, 9, 20, 24). Unfortunately, the evidence for effectiveness in a more typical nonresearch setting is very limited, primarily because family therapy has not become the standard of care in the community (25). Some studies from China show that a relatively simple psychoeducational approach can be implemented in the community with large numbers of patients and have an important effect on relapse (23, 26, 27). However, the control condition in these studies (usual care) failed to include scheduled medication management follow-up (clearly suboptimal care by Western standards). Therefore, it is not surprising that the family management groups (which encouraged family members to actively pursue medication follow-up) had substantially better outcomes (relapse rates of 15%–44% versus 31%–64%, respectively). These Chinese studies also suggest that cultural differences are not necessarily an impediment to successfully applying existing family therapy interventions to diverse cultural groups. However, Telles et al. (28) applied the model of Falloon et al. (13) to a group of Hispanic families and found no differences between behavioral family therapy and standard treatment. Thus, the impact of more complex models of family treatments to non-Caucasian cultures is unclear.

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**TABLE 1. Studies on Treatment of Schizophrenia With Family Therapy a**

<table>
<thead>
<tr>
<th>Study</th>
<th>Subjects</th>
<th>Length of Follow-Up</th>
<th>Treatment Group</th>
<th>Relapse Rates</th>
<th>Secondary Outcomes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Linszen et al. (8) and Nugter et al. (9)</td>
<td>Patients with recent-onset schizophrenic disorders (N=76)</td>
<td>12 months</td>
<td>Individual treatment plus 18 sessions of family therapy over 12 months that focused on education, communication, and problem-solving skills</td>
<td>Equivalent</td>
<td></td>
</tr>
<tr>
<td>Hogarty et al. (10, 11)</td>
<td>Patients with schizophrenia or schizoaffective disorder (N=48)</td>
<td>36 months</td>
<td>Biweekly family therapy for first year; biweekly to monthly family therapy for years 2 and 3</td>
<td>Equivalent</td>
<td>There was tentative evidence for greater improvement in social functioning for patients in the experimental group</td>
</tr>
<tr>
<td>McFarlane et al. (12)</td>
<td>Patients with schizophrenia (N=68) at high risk for relapse b</td>
<td>24 months</td>
<td>Biweekly multifamily psycho-educational group therapy plus assertive community treatment</td>
<td>Equivalent</td>
<td>Patients in both groups reported equivalent reductions in symptom severity; family members in both conditions reported reduced burden; higher overall (but not competitive) employment was achieved by patients in the experimental group</td>
</tr>
</tbody>
</table>

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* a Reports of randomized controlled trials published since the 1996 review by Penn and Mueser (2).

b History of poor compliance, violence, or homelessness.
Cost-effectiveness. Although a large effect of family therapy on prevention of relapse and rehospitalizations could potentially result in important cost savings, only a few studies report cost-benefit analyses. In an analysis that compared home-based family treatment versus individual management over a 12-month period, Cardin et al. (29) reported that the total costs of family management were 19% less than those of individual treatment, with overall benefits favoring the family therapy intervention. Tarrier et al. (30) documented a favorable cost-benefit ratio for patients from high expressed emotion environments who received a 9-month family therapy intervention as compared to a control group from high expressed emotion environments who received routine treatment. Despite the extra costs associated with therapist time, the family therapy intervention resulted in a mean cost savings of 27% per patient. The study by Xiong et al. (26) reported significant cost savings associated with family therapy ($170 per patient per year, a large savings by contemporary Chinese standards). However, as stated before, a replication study that applies Western standards of community care is required.

Case Management

Patients with schizophrenia are often ill-prepared to find and maintain the multiple services they need in order to function in the community. Traditionally, case managers have functioned as brokers of services, being contacted by other professionals who have identified a new need for the patient and then referring the patient to the provider able to deliver these services. Unfortunately, many patients with schizophrenia lack the level of cognitive and social competence to consistently follow-through and get their needs met, which further increases their risk of relapsing.

A different approach to case management and service delivery is exemplified by the assertive community treatment program (31). Patients are assigned to one multidisciplinary team (case manager, nurse, etc.). The team has a fixed caseload and a high staff/patient ratio and delivers all services when and where needed by the patient, 24 hours a day, 7 days a week. Mueser et al. (32) reviewed the literature on assertive community treatment programs for patients with chronic mental illness, and two more randomized controlled trials have since been published (33, 34). There are now 32 studies of assertive community treatment programs with a true experimental design.

Efficacy with regard to primary outcome. The main goal of assertive community treatment programs is to prevent rehospitalization in patients at risk for relapse through provision of comprehensive integrated community services. The most consistent effects have been a reduction of time spent in the hospital (demonstrated in 14 of 24 studies; nine reported no differences) and an improvement in housing stability (demonstrated in nine of 13 studies; four reported no differences) (32–34). These effects are clinically meaningful and more robust among patients with high service utilization rates. It is of interest that the two most recent studies (33, 34) did not find an effect on rehospitalizations. Both studies included an intensive clinical case management approach as a control treatment and did not provide 24-hour coverage as a component of assertive community treatment. Also, Issakidis et al. (34) did not restrict subject inclusion to those who were high service users (only one-third of their study group were high service users). These characteristics may explain the negative findings.

Efficacy with regard to secondary outcomes. Only a minority of studies have found advantages in social adjustment (four of 16) or employment (three of nine, and these jobs represented mostly sheltered rather than competitive employment) (32–34). The disappointing effects on functioning are perhaps accounted for by the emphasis of assertive community treatment in directly assisting patients with their immediate needs, without a formal component of rehabilitation directed toward either social or vocational skills. If a reasonable goal for some patients is self-sufficiency, more systematic efforts aimed at rehabilitation may need to be incorporated into assertive community treatment.

Are some interventions more efficacious? In general, programs that more closely resemble the original assertive community treatment model tend to have a more reliable effect on rehospitalization (35). However, because assertive community treatment is so complex and there have been no studies that systematically assess the impact of each component, it is not known which components are essential. Hence, the effect on hospitalization could be due to improved medication compliance, continuity of caregivers, 24-hour coverage, site of service, intensity of services, or a combination of some of these elements.

Effectiveness and transferability. The original assertive community treatment program has been successfully transferred to many communities by other teams of clinical researchers. Also, assertive community treatment programs have been successfully implemented as part of routine clinical care and have been shown to be effective at reducing rehospitalizations (36).

Cost-effectiveness. In patients with high service utilization rates, assertive community treatment may result in some net savings, since expensive inpatient treatments are reduced by employing less costly community services (31, 37). Rosenheck and Neale (36) documented the cost savings associated with assertive community treatment compared to the cost of standard care at a Veterans Administration (VA) facility, but only for the sickest of the high inpatient service users who were treated at neuropsychiatric hospitals. However, a recently published analysis (38) of a previous study (33) that compared assertive community treatment with another high-quality case management system that did not use multidisciplinary teams with
constant availability did not support the cost savings advantages of assertive community treatment.

**Social Skills Training**

Social skills are those “…specific response capabilities necessary for effective social performance” (39). Social skills training uses learning theory principles to improve social functioning by working with patients to remediate problems in activities of daily living, employment, leisure, and relationships. It is hoped that the improved skills (primary outcome) will generalize to better community functioning and have a downstream effect on relapse and psychopathology. Following the framework described by Bellack and Mueser (39), there are three forms of social skills training: the basic model, the social problem-solving model, and the cognitive remediation model.

In the basic model, complex social repertoires are broken down into simpler steps, subjected to corrective learning, practiced through role playing, and applied in natural settings. The social problem-solving model focuses on improving impairments in information processing that are assumed to be the cause of social skills deficits. The model targets domains needing changes including medication and symptom management, recreation, basic conversation, and self-care. Each domain is taught as part of a module, with the purpose of correcting deficits in receptive, processing, and sending skills. In the cognitive remediation model, the corrective learning process begins by targeting more fundamental cognitive impairments, like attention or planning. The assumption is that if the underlying cognitive impairment can be improved, this learning will be transferred to support more complex cognitive processes, and the traditional social skills models can be better learned and generalized in the community.

**Efficacy with regard to the primary outcome.** The basic model has been repeatedly demonstrated to have an effect on improving specific social skills, and this learning is maintained for up to 12 months (2). However, the outcomes measured in most of these studies closely resembled those assessed in the skills training setting, and there is little evidence that this learning translates into improved social competence in the community (40). In the most extensive study of the basic social skills training model, Hogarty et al. (41) failed to demonstrate a significant impact on social adjustment after 2 years of treatment, despite a very intensive intervention (1 hour weekly for 21 months plus medication compared to medication only). The lack of generalization in this study and others that used the basic model has been a significant limitation.

The problem-solving model has also demonstrated an effect on skill enhancement (42–44). Two studies have examined the long-term impact of this model. Marder et al. (45) assigned schizophrenic outpatients to problem-solving group therapy or supportive group therapy for 2 years. Both groups received the same intensity, frequency, and overall length of intervention (90 minutes twice weekly for the first 6 months, then weekly). There was a small but statistically significant advantage for the problem-solving intervention in two out of six measures of social adjustment after 2 years. Thus, the experimental treatment had modest benefits.

Liberman et al. (46) compared the problem-solving group model to equally intensive occupational therapy. Subjects received the psychosocial interventions for 6 months (3 hours a day, four times a week) and were followed for 2 years. The experimental condition had a significant effect in three out of 10 independent living skills (more personal possessions, more skilled food preparation, and improved money management) that were maintained up to 18 months after completing the intervention. The authors posited that the effect on independent living skills suggests generalization of skills learned and attributed this effect to the fact that all subjects were assigned a case manager who actively encouraged them to apply the skills learned in the community.

Although initial studies of the cognitive remediation model demonstrated some improvement of elementary cognitive processes (47), studies that have evaluated more complex cognitive and social skills have provided mixed results. Hodel and Brenner (48) failed to find in a program that started with cognitive remediation before skills training (N=10) the predicted advantage on social adjustment over a program that followed the opposite order (N=11). Wykes et al. (49) found that 17 patients treated with an intensive cognitive remediation approach (1-hour daily sessions for up to 3 months) that targeted executive functioning deficits showed improvement on three of 12 cognitive measures as compared to 16 patients who received a comparison intervention (occupational therapy) matched for therapist contact and treatment duration. The cognitive remediation intervention did not result in any direct improvements in social functioning or symptoms.

A recent report by Spaulding et al. (50) from their large study (total N=90) comparing cognitive remediation plus the social problem-solving modules with equally intensive supportive therapy plus the modules presents a more hopeful outlook. Subjects were very ill, mostly with schizophrenia, and were referred for long-term hospital treatment because of inability to sustain community living. The experimental and control interventions were matched for intensity (3 hours per week for 6 months). The cognitive remediation group did better in two out of four measures of social competence and demonstrated better acquisition of skills for two out of four of the social problem-solving modules. This study suggests that the cognitive remediation approach can enhance response to more standard skills training in very ill, institutionalized patients.

**Efficacy with regard to secondary outcomes.** The study by Hogarty et al. (15, 41) is the only large social skills training study to find an effect on relapse prevention (46%) for social skills training versus 30% for the control condition.
after 1 year), but there is an important caveat to this finding: the relapse prevention effect was lost in the second year, 3 months after the social skills training was reduced from weekly to biweekly. Thus, it is not clear whether the effect on relapse was due to the higher patient contact rather than a specific advantage of social skills training. Two studies that used the social problem-solving model (45, 46) and controlled for the nonspecific effects of patient contact failed to find an effect on relapse prevention, which suggests that some nonspecific aspects of social skills training (e.g., improved symptom monitoring) may reduce relapse rates. For other outcomes such as psychopathology and employment there have been no consistent effects reported for any of the social skills training modalities.

Effectiveness and transferability. The problem-solving model has been standardized into well-defined modules with printed manuals, making it easy to transfer to other settings. In a pre-post design, Wallace et al. (51) documented learning of skills in seven nonresearch settings as implemented by regular staff trained through a 2-day workshop. The study by Liberman (46) also documented the effectiveness of intervention implemented by paraprofessionals in a typical VA clinical setting.

**Vocational Rehabilitation**

Competitive employment (holding a regular community job as opposed to being employed in a program overseen by a rehabilitation agency) has been estimated at less than 20% for severely mentally ill persons and is probably lower for patients with schizophrenia (52). In an effort to keep patients as functional and autonomous as possible in the community, various programs have been implemented to help patients find jobs and maintain them. Supported employment programs, the most recent approach to enhancing outcomes beyond those associated with traditional vocational rehabilitation (like transitional or sheltered employment), aims to improve opportunities for competitive employment.

The implementation of supported employment programs differs along a number of dimensions. However, several common components across models may be identified, including a goal of permanent competitive employment, minimal screening for employability, avoidance of preoccupational training, individualized placement (i.e., not enclaves or mobile work crews), time-unlimited support, and consideration of client preferences (53).

**Efficacy with regard to the primary outcome.** We identified three randomized controlled trials for supported employment programs (54–56) that had competitive employment as the primary targeted outcome (Table 2). The results were consistent in demonstrating significant advantages for supported employment programs over control interventions. The unweighted mean among patients in supported employment programs for obtaining competitive employment was 65% (range=56%–78%), whereas the corresponding rate for patients in the control conditions was 26% (range=9%–40%). Thus, in contrast to traditional vocational-rehabilitation approaches, these results provide encouraging evidence for the efficacy of supported employment programs in terms of increasing rates of competitive employment.

These positive results must be interpreted in light of the small number of trials that have been conducted and a number of methodological limitations (described in detail

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**TABLE 2. Studies on Treatment of Schizophrenia With Supported Employment Intervention Programs**

<table>
<thead>
<tr>
<th>Study</th>
<th>Subjects</th>
<th>Length of Follow-Up</th>
<th>Treatment Group</th>
<th>Rate of Competitive Employment</th>
<th>Secondary Outcomes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bond et al. (54)</td>
<td>Patients with severe psychiatric disabilities (N=74)</td>
<td>12 months</td>
<td>Accelerated supported employment (no screening for job readiness, no prevocational training)</td>
<td>Greater for the experimental group</td>
<td>Rates of rehospitalization were equivalent</td>
</tr>
<tr>
<td>Drake et al. (55)</td>
<td>Patients with severe mental illness (N=143)</td>
<td>18 months</td>
<td>Interpersonal placement and support: clinical and vocational services integrated within the mental health center</td>
<td>Greater for the experimental group despite approximately equivalent personnel and direct contact hours</td>
<td>Rates of rehospitalization were equivalent; the two groups had similar improvements in global functioning, self-esteem, quality of life, and symptoms</td>
</tr>
<tr>
<td>Drake et al. (56)</td>
<td>Patients with severe mental illness (N=152)</td>
<td>18 months</td>
<td>Individual placement and support: help obtaining competitive jobs provided by employment specialists within the mental health center</td>
<td>Enhanced vocational rehabilitation: stepwise vocational services delivered by rehabilitation agency</td>
<td>Greater for the experimental group despite same amount of job support</td>
</tr>
</tbody>
</table>

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*a Reports of randomized controlled trials published since the 1996 review by Penn and Mueser (2).

*b Regular community jobs as opposed to those owned by a rehabilitation agency.
by Bond et al. [53]). Retention is a particularly important issue to consider, since dropout rates over 40% are not uncommon (53). Although supported employment programs appear to be efficacious in helping patients attain entry-level positions, there are no data to evaluate whether supported employment programs confer longer-term benefits for patients who may be capable of progressing beyond these positions. For patients who have poor work histories and limited premorbid skills (perhaps the majority of persons with schizophrenia), attainment of entry-level positions may be a reasonable outcome.

**Efficacy with regard to secondary outcomes.** Supportive employment programs do not appear to result in benefits for nonvocational outcomes (Table 2). For example, despite the belief that employment may produce such secondary benefits as improved self-esteem, improved quality of life, and reductions of symptoms and relapses, the studies reviewed provide little to no evidence to support these assumptions. However, it is possible that employment per se, apart from the vocational rehabilitation strategy implemented, could lead to improvement in other outcomes (57).

**Are some interventions more efficacious?** Drake et al. (56) compared two types of supported employment interventions, one with early placement plus integration of vocational and mental health services (interpersonal placement and support) and the other with initial training and later placement (and no integration of services). The interpersonal placement and support group achieved higher rates of competitive employment, but it is not clear whether the effect was due to early placement or integration of services.

**Effectiveness and transferability.** The efficacy of interpersonal placement and support, which was originally demonstrated in two small cities in New Hampshire (55), was subsequently replicated in a Washington, D.C., patient group with a very different ethnic composition (83% African American) (56). These results provide some evidence that supported employment programs are transferable to urban settings and to diverse ethnic and socio-economic populations. Additionally, Drake et al. (56) documented the effectiveness of supported employment programs compared to standard vocational services available in the Washington, D.C., area. The availability of a treatment manual for interpersonal placement and support (58) should facilitate research into the transferability of this treatment modality.

**Cognitive Behavior Therapy**

Over the past decade, there has been a growing interest in applying cognitive behavior therapy techniques to persons with schizophrenia, particularly those who continue to experience psychotic symptoms despite optimal pharmacological treatment. The principal aims of cognitive behavior therapy for medication-resistant psychosis are to reduce the intensity of delusions and hallucinations (and the related distress) and promote active participation of the individual in reducing the risk of relapse and levels of social disability. Interventions focus on rationally exploring the subjective nature of the psychotic symptoms, challenging the evidence for these, and subjecting such beliefs and experiences to reality testing.

**Efficacy with regard to the primary outcome.** We identified five randomized controlled trials of cognitive behavior therapy for the treatment of psychotic symptoms as compared to standard or control treatment in patients with chronic psychoses (Table 3). For four of these studies, a reduction in delusions and hallucinations was the primary targeted outcome; one trial targeted reduced rehospitalization rates.

Three studies examined the effects of cognitive behavior therapy on medication-resistant psychotic symptoms in schizophrenic outpatients and included follow-ups of up to 1 year posttreatment. Kuipers et al. (59) found that patients receiving cognitive behavior therapy demonstrated a significant reduction in overall symptoms as compared to standard treatment alone but did not find a specific reduction in psychotic symptoms.

Tarrier et al. (60) found a reduction of delusions and hallucinations with cognitive behavior therapy compared to supportive counseling (of equal intensity) and routine care alone. The effects were clinically meaningful: 11 out of 33 of the patients treated with cognitive behavior therapy had reductions in delusions and hallucinations of at least 50% (compared to four out of 26 subjects who received supportive counseling). A particular effort was made in this study to ensure that symptoms were rated blindly. The advantage for cognitive behavior therapy was maintained at 12-month follow-up (61). A methodologically rigorous study by Sensky et al. (62) found that patients treated with cognitive behavior therapy or a befriending intervention (of equal intensity) plus routine care both experienced a reduction of psychotic symptoms following 9 months of treatment. At the end of treatment, there were no advantages for cognitive behavior therapy. However, at 9-month follow-up the treatment gains were sustained in the cognitive behavior therapy group but were not in the comparison condition. These studies suggest that the therapeutic benefit of cognitive behavior therapy is not simply attributable to nonspecific benefits of a psychological intervention.

In acutely psychotic inpatients, Drury et al. (63) found that cognitive behavior therapy adjunctive to antipsychotic medication resulted in a significantly faster and more complete recovery from the psychotic episode. At 9-month follow-up, 95% of the patients in the cognitive behavior therapy group reported no or only minor hallucinations or delusions as compared to 44% of patients in the control condition. A limitation of this study was that the raters of psychopathology also provided the experimental treatment.
Overall, the few available randomized controlled trials provide some preliminary evidence for the efficacy of cognitive behavior therapy in reducing delusions and hallucinations in medication-resistant patients and for its use as a complement to pharmacotherapy in acute psychosis.

**Efficacy with regard to secondary outcomes.** Cognitive behavior therapy failed to improve social functioning (59, 65) or relapse rates (60), both of which have been targeted outcomes in medication-resistant patients. Studies that have reported negative symptom effects have generally not found significant improvements associated with

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Buchkremer et al. (64) compared four programs of care (two that included cognitive behavior therapy) to routine care. The interventions were delivered over 8 months and were assessed after 1 and 2 years of follow-up. The predicted reduction in rehospitalizations with cognitive behavior therapy was not found, but the group that received the most intensive intervention (cognitive behavior therapy plus individual and family psychoeducational psychotherapy) showed a trend toward fewer hospitalizations. In addition, cognitive behavior therapy failed to demonstrate an effect on psychotic symptoms.

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**TABLE 3. Psychosocial Treatment of Schizophrenia With Cognitive Behavior Therapy**

<table>
<thead>
<tr>
<th>Study</th>
<th>Subjects</th>
<th>Length of Follow-Up</th>
<th>Treatment Group</th>
<th>Change in Positive Symptoms or Rehospitalization Rate</th>
<th>Secondary Outcomes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Kuipers et al. (59, 65)</td>
<td>Psychiatric outpatients with psychosis (N=60)</td>
<td>18 months</td>
<td>Weekly cognitive behavior therapy for up to 9 months plus standard care</td>
<td>Only the experimental group exhibited significant improvement in BPRS total scores</td>
<td>Both groups had similar improvements in psychotic symptoms and social functioning; the experimental group had greater reductions in delusional distress and the frequency of delusions</td>
</tr>
<tr>
<td>Tarrier et al. (60, 61)</td>
<td>Outpatients with schizophrenia (N=87)</td>
<td>12 months</td>
<td>Two sessions of cognitive behavior therapy a week for 10 weeks plus routine care</td>
<td>The experimental group reported psychotic symptom improvements that were greater than those for both control conditions at 3 months and the routine care condition at 12 months</td>
<td>All groups had similar improvements in negative symptoms; the experimental group experienced fewer exacerbations and days in the hospital than patients in the routine care condition</td>
</tr>
<tr>
<td>Sensky et al. (62)</td>
<td>Outpatients with schizophrenia (N=90)</td>
<td>9 months</td>
<td>Approximately one 45-minute cognitive behavior therapy session a week for 2 months, reduced session frequency for remaining 7 months plus routine care</td>
<td>Befriending intervention plus routine care</td>
<td>The experimental group exhibited significant reductions in positive symptoms after 9 months of treatment; continued improvement at 9-month follow-up was reported for the experimental group only</td>
</tr>
<tr>
<td>Druy et al. (63)</td>
<td>Patients with acute nonaffective psychosis (N=40)</td>
<td>9 months</td>
<td>Eight hours a week of cognitive behavior therapy administered in individual, group, and family formats</td>
<td>Matched hours of informal therapist support and structured activities Routine care</td>
<td>Both groups exhibited similar reductions in disorganization and negative symptoms</td>
</tr>
<tr>
<td>Buchkremer et al. (64)</td>
<td>Outpatients with schizophrenia (N=124)</td>
<td>24 months</td>
<td>1) Fifteen sessions of cognitive psychotherapy (7 weekly, 8 biweekly); 10 sessions of psychoeducational medication management (5 weekly, 5 biweekly); and 20 sessions of key-person counseling, or 2) cognitive psychotherapy and psychoeducational medication management only</td>
<td>Rates of rehospitalization were equivalent for all three groups; post hoc analyses suggested reduced rehospitalization rates for the first experimental condition</td>
<td>Evidence suggested greater improvements in social functioning for patients in the first experimental group</td>
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*a* Reports of randomized controlled trials published since the 1996 review by Penn and Mueser (2).

*b* Delusions, hallucinations.
cognitive behavior therapy (60, 63, 64). However, the recent study by Sensky et al. (62) reported improvements in negative and depressive symptoms that were sustained up to 9 months following completion of treatment. A brief cognitive behavior therapy intervention based on motivational interviewing techniques that targeted compliance with antipsychotic medication showed significant improvements in compliance and patient attitudes toward drug treatment and insight into their illness as compared to standard treatment (66). However, the effects of this intervention were not translated into improvements in social functioning or symptoms.

Are some interventions more efficacious? Only one study has compared two forms of cognitive behavior therapy for medication-resistant psychotic symptoms. Tarrier et al. (67) found that coping strategy enhancement or problem-solving interventions both led to targeted reductions in psychotic symptoms, with no between-group differences. The lack of a no-treatment group limits conclusions that may be drawn regarding “active ingredients.”

Cost-effectiveness. Kuipers et al. (65) reported some evidence that the monthly cost per patient was not higher for the cognitive behavior therapy group (£ 958) than for the standard treatment (£ 1,139) during an 18-month follow-up period. Because of the small study group size, this difference did not reach statistical significance.

Individual Therapy

Before the 1960s, individual psychoanalytically oriented therapy was considered by many the optimal treatment for schizophrenia. Following the negative findings in the landmark studies of May et al. (68) and Gunderson et al. (69), psychoanalytically oriented individual psychotherapy for most patients with schizophrenia has been practically eliminated in the United States. Only recently has a different form of intensive individual treatment been examined.

Hogarty et al. (10, 11) compared individual personal therapy for schizophrenia to family therapy, combined treatment, and supportive therapy in a 3-year trial. Personal therapy was conducted weekly (for 30 to 45 minutes) following an incremental approach individualized for the patients’ stage of recovery: the initial phase focused on the relationship between stress and symptoms; the intermediate phase emphasized learning to use relaxation and cognitive reframing techniques when stressed; the advanced phase (which generally started 18 months into treatment) focused on seeking social and vocational initiatives in the community and applying what was learned in personal therapy.

For the primary outcome measure of relapse prevention, personal therapy was no different than the other conditions. However, the personal therapy group was clearly favored in a composite measure of social adjustment (with an effect size that was over twice as large as that seen with non-personal-therapy), with the greatest differential improvement occurring in the last 2 years. Adjustment data were derived from various sources: patient interview, therapist assessments, and relatives’ perception, which argues for its validity. Limitations were that 40% of the patients assigned to personal therapy did not move on to the advanced phase of the treatment, and adjustment ratings were not blind to treatment conditions.

Conclusions

Summary of Findings

Over the last 4 years, research on psychosocial treatments for schizophrenia has continued to develop. We reviewed randomized controlled trials with a special emphasis on those published since the last update by Penn and Mueser (2). For the more extensively studied interventions (family therapy and assertive community treatment), the recent studies have had largely negative findings (8–10, 12, 33, 34). In our view, this does not so much put in doubt the large body of research supporting the efficacy of these treatments but rather is consistent with the level of evolution of research into these modalities. These findings reflect more sophisticated studies of either special populations (e.g., patients very early in their illness [8, 9]) or inclusion of enriched packages of care as control conditions (10, 12, 33, 34). In contrast, studies of two relatively new modalities, supported employment programs (54, 56) and cognitive behavior therapy (59–64), have shown mostly positive findings. Also, the few social skills training studies of interventions designed to increase generalization of skills (the social problem-solving [45, 46] and cognitive remediation models [50]) have reported promising results.

On the whole, the literature is consistent in that the various interventions have been largely successful for the primary outcome measures they were designed to target (i.e., family therapy and assertive community treatment for prevention of psychotic relapse and rehospitalization, social skills training for learning specific social behaviors, supported employment programs for obtaining competitive employment, and cognitive behavior therapy for reducing delusions and hallucinations). However, these effects tend to be domain specific and do not result in improvements in other clinically important secondary outcomes. For some interventions, this lack of an effect on other measures is not a serious limitation. With supported employment programs, attainment of competitive employment is clearly worthwhile, regardless of a limited effect on social adjustment or psychopathology. Likewise, with cognitive behavior therapy, reduction in the distress associated with psychotic symptoms is a highly desirable outcome, especially when other available treatments have failed. However, for the social skills training modalities, even if learning of skills is robust in most patients and can be maintained over time with relatively few resources, demonstrating some degree of generalizability and improved community functioning
is crucial. Direct demonstration of use of learned skills in the community is a daunting methodological challenge yet to be accomplished.

The identification of “active ingredients” for different interventions has had very limited success. Beyond the general advantage of sustained over brief interventions in terms of primary outcomes, little is known regarding the specificity of the various treatments. Even for family interventions, the construct of “expressed emotion” has not been clearly shown to underlie the efficacy for relapse prevention. Also, when two forms of family interventions are compared, the literature is consistent that no advantages are evident. Similarly, recent studies of assertive community treatment suggest that “more is not necessarily better” and that for many patients, even those with high relapse rates, high-quality clinical case management with adequate service availability is equally effective. Because the effects of these interventions are mainly on relapse prevention, in populations of patients in which the base rate of relapse is already low (such as medication compliant persons early in their illness), there may be no advantage of adding family therapy, as the most recent studies suggest. With novel antipsychotic medications becoming the standard of care, compliance will hopefully improve, and relapse rates may be lowered; this could result in the amelioration of the effects of family treatment and assertive community treatment programs on relapse.

Transferability of efficacious treatments to more usual clinical settings has been documented for only a minority of interventions (like assertive community treatment and perhaps the social skills training problem-solving model). Cost-effectiveness has been documented for assertive community treatment when compared to usual community care but not when compared to another high-resource model of clinical case management.

**Future Research**

For the newer interventions such as supported employment programs, cognitive behavior therapy, cognitive remediation, and personal therapy, replication of the initial positive findings is necessary in large samples, in different settings, and by investigators not directly involved in the development of these treatment modalities. For family interventions and assertive community treatment, future research should concentrate on 1) identifying the minimal intensity of services that will maintain the relapse-preventing effects and 2) examining whether some subgroups of patients may benefit in particular. In the services research arena, it is necessary to demonstrate whether assertive community treatment programs effective in the community result in cost savings. Likewise, studies are needed of the cost-effectiveness of sustained psychoeducational family interventions added to adequate standard care.

Since effective interventions tend to be domain specific, it is important to investigate when to apply particular treatments. Because the majority of patients will relapse, sustain deficits in social competence, and fail to attain competitive employment (and many will experience persistent psychosis), research is needed to guide the optimum sequencing and combination of specific services to be delivered. For example, should social skills training precede, follow, or be implemented concurrently with cognitive behavior therapy in patients with persistent psychosis and limited social skills? And for what subgroups of patients and at what stage of the illness should a particular intervention be implemented?

For the supported employment approach, future studies should address the issue of the extent of ongoing support required to maintain efficacy and changes in the social security disability system such as retention of benefits despite competitive employment that might foster these vocational gains.

Finally, because of the continued development of newer and hopefully better antipsychotic agents, the interactions between different psychosocial and pharmacological modalities should be investigated. We are aware of only two (20, 45) randomized trials that controlled the psychosocial as well as the pharmacological intervention (neither involved novel antipsychotic agents). One study (45) found an interaction between psychosocial and pharmacological treatments, which suggested that the problemsolving social skills training approach may provide protection against relapse among patients suboptimally medicated. The other study (20) found no interaction between two forms of family treatment and three antipsychotic medication dose regimens.

**Clinical Recommendations**

What implications can be drawn for the use of the psychosocial interventions described in this review, for the standard of care for persons suffering from schizophrenia? For frequent relapers who reside with family, a relatively simple but sustained psychoeducational family approach should be offered (for example, monthly visits in a single or multifamily group setting). Additionally, for the majority of patients, the family should be viewed as a natural ally that can provide crucial early information regarding relapse, substance abuse, community functioning, and compliance. For patients with high service utilization rates, assertive community treatment programs should be considered, especially if family involvement is not available. With the large majority of schizophrenia patients living in the community and hospital stays becoming progressively shorter as a result of managed care, a comprehensive system of delivery of services based on assertive community treatment principles will continue to be necessary for a large proportion of patients.

Once stable community living is achieved, a systematic rehabilitation effort for the majority of persons with schizophrenia is necessary. Beyond allowing patients to make use of previously learned social skills once the psy-
chotic process is sufficiently controlled, there is no compelling evidence that medications (even the novel drugs) offer additional benefits in terms of social competence (1). Specific strategies to teach social skills are available. Of these, the social problem-solving model not only has resulted in the acquisition of skills but also is the approach with some evidence that suggests generalization of skills to community functioning and of effectiveness in more routine clinical settings. The requirement of social skills training for clinicians specifically trained in these techniques presently limits their use, but the availability of printed manuals with well-defined modules targeting different areas of social functioning is a fundamental step towards disseminating these interventions.

Patients who wish to work should be referred to a vocational rehabilitation agency with resources for supported employment. No other psychosocial or pharmacological treatment has been shown to promote competitive employment. However, for many patients a traditional sheltered form of employment or no employment will remain the best option. Because presently there is no evidence to identify these patients in advance, the majority of persons suffering from schizophrenia should be offered the supported employment approach when available.

A large number of patients will continue to experience disturbing delusions and hallucinations despite the best available medications. Persistent symptoms after an adequate trial with one antipsychotic agent generally predict little response to other medications. Superiority for previously resistant psychotic symptoms has been demonstrated only for clozapine (1), but a recent meta-analysis of efficacy for this agent suggests that the effects, although important, are smaller than originally found (70). Therefore, the results from cognitive behavior therapy interventions are particularly encouraging. Currently, these strategies are in their infancy, there are few clinicians with the expertise to implement them, and it is not clear that even in the best hands these strategies will result in clinically meaningful sustained effects. Nevertheless, cognitive behavior therapy has become established for the treatment of depressive and anxiety disorders and may prove to be a valuable resource for clinicians helping persons with chronic psychotic disorders as well.

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